

# SLAVERY & TRAFFICKING RISK TEMPLATE (STRT)

VERSION 2.0



## HUMAN TRAFFICKING & SLAVERY RISK SCORING ALGORITHM: WORKSHEET

Use this worksheet to document your algorithm for generating a human trafficking and slavery risk score with the STRT. Assign points to questions in the STRT, as well as the different thresholds for each risk category. Reference the STRT Scoring Guide for context and extra information.

| STRT QUESTION | STRT QUESTION RESPONSE                  | SUPPORTING DOCUMENTATION? | POINTS | NOTES |
|---------------|---|---------------------------|--------|-------|
| 1             | Yes                                     | -                         |        |       |
|               | Blank                                   | -                         |        |       |
|               | No                                      | -                         |        |       |
| 2             | Yes                                     | -                         |        |       |
|               | Blank                                   | -                         |        |       |
|               | No                                      | -                         |        |       |
|               | N/A – We do not work with any suppliers | -                         |        |       |
| 3             | Yes                                     | -                         |        |       |
|               | Blank                                   | -                         |        |       |
|               | No                                      | -                         |        |       |

| STRT QUESTION | STRT QUESTION RESPONSE   | SUPPORTING DOCUMENTATION? | POINTS | NOTES |
|---------------|--|---------------------------|--------|-------|
| 4             | Yes  | -                         |        |       |
|               | Blank  | -                         |        |       |
|               | No   | -                         |        |       |
| 5             | Yes  | -                         |        |       |
|               | No, our recruiters do not hire subcontractors to recruit workers       | -                         |        |       |
|               | Blank  | -                         |        |       |
|               | N/A – We do not use recruiters   | -                         |        |       |
|               | We don't know if our recruiters hire subcontractors to recruit workers | -                         |        |       |
| 6             | Yes  | -                         |        |       |
|               | No   | -                         |        |       |
|               | Blank  | -                         |        |       |
|               | I don't know   | -                         |        |       |
| 7a            | No   | -                         |        |       |
|               | Blank  | -                         |        |       |
|               | Yes  | No                        |        |       |
|               | Yes  | Yes                       |        |       |
| 7b            | No   | -                         |        |       |
|               | Blank  | -                         |        |       |
|               | Yes  | No                        |        |       |
|               | Yes  | Yes                       |        |       |

| STRT QUESTION | STRT QUESTION RESPONSE                                       | SUPPORTING DOCUMENTATION? | POINTS | NOTES |
|---------------|--|---------------------------|--------|-------|
| 7c            | No   | -                         |        |       |
|               | Blank  | -                         |        |       |
|               | Yes  | No                        |        |       |
|               | Yes  | Yes                       |        |       |
| 7d            | No   | -                         |        |       |
|               | Blank  | -                         |        |       |
|               | Yes  | No                        |        |       |
|               | Yes  | Yes                       |        |       |
|               | N/A – We do not use recruiters                               | -                         |        |       |
| 7e            | No   | -                         |        |       |
|               | Blank  | -                         |        |       |
|               | Yes  | No                        |        |       |
|               | Yes  | Yes                       |        |       |
| 7f            | Yes, broadly and/or only when required by law or by contract | -                         |        |       |
|               | Blank  | -                         |        |       |
|               | No   | -                         |        |       |
| 8a            | No   | -                         |        |       |
|               | Blank  | -                         |        |       |
|               | Yes  | No                        |        |       |
|               | Yes  | Yes                       |        |       |
|               | N/A – We do not hire foreign migrant workers                 | -                         |        |       |

| STRT QUESTION | STRT QUESTION RESPONSE   | SUPPORTING DOCUMENTATION? | POINTS | NOTES |
|---------------|--|---------------------------|--------|-------|
| 8b            | No   | -                         |        |       |
|               | Blank  | -                         |        |       |
|               | Yes  | No                        |        |       |
|               | Yes  | Yes                       |        |       |
|               | N/A – We do not provide or arrange housing   | -                         |        |       |
| 8c            | No   | -                         |        |       |
|               | Blank  | -                         |        |       |
|               | Yes  | No                        |        |       |
|               | Yes  | Yes                       |        |       |
| 8d            | No   | -                         |        |       |
|               | Blank  | -                         |        |       |
|               | Yes  | No                        |        |       |
|               | Yes  | Yes                       |        |       |
| 8e            | No   | -                         |        |       |
|               | Blank  | -                         |        |       |
|               | Yes, but only when required by law or by contract                                    | No                        |        |       |
|               | Yes, but only when required by law or by contract                                    | Yes                       |        |       |
|               | Yes, and this applies to all employees, even when not required by law or by contract | No                        |        |       |
|               | Yes, and this applies to all employees, even when not required by law or by contract | Yes                       |        |       |

| STRT QUESTION | STRT QUESTION RESPONSE  | SUPPORTING DOCUMENTATION? | POINTS | NOTES |
|---------------|---|---------------------------|--------|-------|
| 8f            | No  | -                         |        |       |
|               | Blank   | -                         |        |       |
|               | Yes   | No                        |        |       |
|               | Yes   | Yes                       |        |       |
| 9             | No  | -                         |        |       |
|               | Blank   | -                         |        |       |
|               | Yes   | No                        |        |       |
|               | Yes   | Yes                       |        |       |
|               | N/A – We do not work with any suppliers                                 | -                         |        |       |
| 10            | No  | -                         |        |       |
|               | Blank   | -                         |        |       |
|               | Yes if Question 9 is answered No  | -                         |        |       |
|               | Yes if Question 9 is answered Yes                                       | Yes                       |        |       |
|               | Yes if Question 9 is left blank   | -                         |        |       |
|               | “N/A – We do not work with any suppliers” if Question 9 is answered N/A | -                         |        |       |
|               | “N/A – We do not work with any suppliers” if Question 9 is answered Yes | -                         |        |       |
|               | “N/A – We do not work with any suppliers” if Question 9 is answered No  | -                         |        |       |
|               | “N/A – We do not work with any suppliers” if Question 9 is blank        | -                         |        |       |

| STRT QUESTION | STRT QUESTION RESPONSE  | SUPPORTING DOCUMENTATION? | POINTS | NOTES |
|---------------|---|---------------------------|--------|-------|
| 11            | No  | -                         |        |       |
|               | Blank   | -                         |        |       |
|               | Yes if Question 9 is answered No  | -                         |        |       |
|               | Yes if Question 9 is answered Yes                                       | Yes                       |        |       |
|               | Yes if Question 9 is left blank   | -                         |        |       |
|               | "N/A – We do not work with any suppliers" if Question 9 is answered N/A | -                         |        |       |
|               | "N/A – We do not work with any suppliers" if Question 9 is answered Yes | -                         |        |       |
|               | "N/A – We do not work with any suppliers" if Question 9 is answered No  | -                         |        |       |
|               | "N/A – We do not work with any suppliers" if Question 9 is blank        | -                         |        |       |
| 12            | No  | -                         |        |       |
|               | Blank   | -                         |        |       |
|               | Yes   | Yes                       |        |       |
|               | N/A – We do not use recruiters  | -                         |        |       |
| 13a           | No  | -                         |        |       |
|               | Blank   | -                         |        |       |
|               | Yes   | Yes                       |        |       |

| STRT QUESTION | STRT QUESTION RESPONSE   | SUPPORTING DOCUMENTATION? | POINTS | NOTES |
|---------------|--|---------------------------|--------|-------|
| 13b           | No   | -                         |        |       |
|               | Blank  | -                         |        |       |
|               | Yes  | Yes                       |        |       |
| 13c           | No   | -                         |        |       |
|               | Blank  | -                         |        |       |
|               | Yes  | Yes                       |        |       |
|               | N/A – We do not work with any indirect suppliers   | -                         |        |       |
| 13d           | No   | -                         |        |       |
|               | Blank  | -                         |        |       |
|               | Yes  | Yes                       |        |       |
|               | N/A – We do not use recruiters/Our recruiters do not use subcontractors                  | -                         |        |       |
| 14            | No   | -                         |        |       |
|               | Blank  | -                         |        |       |
|               | Yes <u>if</u> all questions under Question 11 are answered No (some may be answered N/A) | -                         |        |       |
|               | Yes <u>if</u> one or more questions under Question 11 are answered Yes                   | Yes                       |        |       |
|               | Yes <u>if</u> all questions under Question 11 are left blank                             | -                         |        |       |

| STRT QUESTION           | STRT QUESTION RESPONSE         | SUPPORTING DOCUMENTATION? | POINTS             | NOTES |
|-------------------------|--------------------------------|---------------------------|--------------------|-------|
| 15                      | No                             | -                         |                    |       |
|                         | Yes                            | Yes                       |                    |       |
|                         | Blank                          | -                         |                    |       |
| 16                      | No                             | -                         |                    |       |
|                         | Blank                          | -                         |                    |       |
|                         | Yes                            | Yes                       |                    |       |
| 17                      | No                             | -                         |                    |       |
|                         | Blank                          | -                         |                    |       |
|                         | Yes                            | Yes                       |                    |       |
| 18                      | No                             | -                         |                    |       |
|                         | Blank                          | -                         |                    |       |
|                         | Yes                            | Yes                       |                    |       |
| 19                      | No                             | -                         |                    |       |
|                         | Blank                          | -                         |                    |       |
|                         | Yes                            | Yes                       |                    |       |
|                         | N/A – we do not use recruiters | -                         |                    |       |
| 20                      | Yes                            | -                         |                    |       |
|                         | No                             | -                         |                    |       |
|                         | Blank                          | -                         |                    |       |
| <b>Cumulative score</b> |                                |                           | <b>____ Points</b> |       |



| THRESHOLDS  |  |  |  |  |  |
|---|--|--|--|--|--|
| Low Risk<br>(No Perceived<br>Inherent Risk)           |  | Low Risk<br>(Adequate Risk Control)                    |  | Medium Risk<br>(Partial Risk<br>Control)               | High Risk<br>(Adequate Risk<br>Control)                |
| <u>Cumulative score<br/>for Questions<br/>1 to 6:</u> | If a supplier is<br>not low risk<br>(no perceived<br>inherent risk),<br>advance to next<br>calculation | <u>Cumulative score<br/>for Questions<br/>7 to 20:</u> | If a supplier is<br>not low risk<br>(no perceived<br>inherent risk),<br>advance to next<br>calculation | <u>Cumulative score<br/>for Questions<br/>1 to 20:</u> | <u>Cumulative score<br/>for Questions<br/>1 to 20:</u> |
|   |  |  |  |  |  |